

Enhancement of microalgae anaerobic digestion by thermo-alkaline pretreatment with lime (CaO)

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20 **Highlights**

- 21 ▪ The effect of thermo-alkaline pretreatment on microalgae anaerobic digestion was evaluated.
- 22 ▪ Different lime doses and temperatures were tested to determine the best pretreatment
- 23 condition.
- 24 ▪ All pretreatment conditions improved process kinetics as compared to untreated microalgae.
- 25 ▪ The highest methane yield increase was achieved by adding 10% CaO at 72°C.

26 **Abstract**

27 The aim of this study was to evaluate for the first time the effect of a thermo-alkaline pretreatment
28 with lime (CaO) on microalgae anaerobic digestion. The pretreatment was carried out by adding
29 different CaO doses (4 and 10%) at different temperatures (room temperature (25°C), 55 and 72°C).
30 The exposure time was 4 days for pretreatments at 25°C, and 24h for pretreatments at 55 and 72°C.
31 Following, a biochemical methane potential test was conducted with pretreated and untreated
32 microalgae. According to the results, the pretreatment enhanced proteins solubilisation by 32.4%
33 and carbohydrates solubilisation by 31.4% with the highest lime dose and temperature (10% CaO
34 and 72°C). Furthermore, anaerobic digestion kinetics were improved in all cases (from 0.08 to 0.14
35 day⁻¹ for untreated and pretreated microalgae, respectively). The maximum biochemical methane
36 potential increase (25%) was achieved with 10% CaO at 72°C, in accordance with the highest
37 biomass solubilisation. Thus, lime pretreatment appears as a potential strategy to improve
38 microalgae anaerobic digestion.

39

40 **Keywords**

41 Algae; Anaerobic digestion; Biogas; Biomass solubilisation; Chemical Pretreatment

42 **1. Introduction**

43 Microalgae-based wastewater treatment systems are promising solutions to shift the paradigm from
44 wastewater treatment to energy and resources recovery. In these systems, microalgae assimilate
45 nutrients and produce oxygen which is used by bacteria to biodegrade organic matter improving
46 water quality. Moreover, microalgae biomass can be harvested and reused to produce biofuels or
47 other non-food bioproducts [1,2]. In this context, anaerobic digestion is one of the most
48 consolidated and well-known technologies to convert organic waste generated in a wastewater
49 treatment plant into bioenergy [3].

50 Over the last decades, the feasibility to obtain biogas from microalgae has been proved.
51 However, some microalgae species can present a low biodegradability due to the complex structure
52 of their cell walls. This fact may hamper the hydrolysis step [4]. For that reason, some pretreatment
53 techniques have been evaluated to improve both the microalgae anaerobic biodegradability and the
54 kinetics of the process [4,5]. The most studied methods have been mechanical and thermal
55 pretreatments, which may increase the biomass solubilisation, methane yield and methane
56 production rate. Nevertheless, energy balances are not always positive, since some of these
57 pretreatments have a high energy demand [5]. Thus, pretreatments which require minimal energy
58 input, such as low-temperature, biological and chemical methods, have recently been gaining
59 interest [6,7].

60 Chemical pretreatments consist of adding acids (acid pretreatment) or bases (alkaline
61 pretreatment) under different conditions (e.g. different temperatures and exposure times). First
62 applications of alkaline pretreatments were found to improve the biodegradability of lignocellulosic
63 biomass due to their effectiveness at breaking ester bonds between lignin and polysaccharides [8]
64 and partially solubilising hemicelluloses and celluloses to a lower extent [9]. Although microalgae
65 do not contain lignin, some benefits have also been reported in the application of an alkaline
66 pretreatment to microalgae. Indeed, Mahdy et al. [10] reported that both organic matter
67 solubilisation and methane yield increased by applying an alkaline pretreatment. In addition, while

68 an acid pretreatment of microalgae only increased carbohydrate solubilisation, an alkaline
69 pretreatment enhanced the solubilisation of both proteins and carbohydrates [11]. Moreover, the
70 combination of thermal and alkaline pretreatments applied to different microalgae species was more
71 effective than alkaline or thermal pretreatments applied separately [12]. The combination of
72 temperature and alkali pretreatments has been tested at low (<100 °C) and high (>100 °C)
73 temperatures. However, it has been demonstrated that high temperatures may lead to the production
74 of refractory organic compounds or inhibitory intermediates generated through intramolecular
75 reactions (i.e. Maillard reactions) [13]. Therefore, the use of lower temperatures might be more
76 appropriate.

77 To date, the most used alkali for microalgae pretreatment is NaOH, although a recent study
78 also analysed the effect of KOH, Na₂CO₃ and NH₄OH [14]. However, some environmental and
79 economic drawbacks should be considered when applying these chemicals. In particular, NaOH
80 increases the concentration of Na⁺ in digestates, which is known to be inhibitory to methanogens
81 [15] and could be harmful for soil upon digestate agriculture reuse [16]. On the other hand, NH₄OH
82 may not be recommended for microalgae, as their high nitrogen content combined with the addition
83 of NH₄OH could inhibit anaerobic digestion [17]. Concerning KOH, it is more expensive than other
84 alkalis. Conversely, lime (Ca(OH)₂ or CaO) is more environmentally friendly and cheaper [18]. In
85 particular, lime is around 1.5 and 4-fold less expensive than NaOH and KOH, respectively. Lime
86 pretreatment has already been tested on lignocellulosic biomass (i.e. wheat straw or sunflower
87 stalks), showing a significant increase in biomass solubilisation and methane yield [8,9]. To the best
88 of our knowledge, no studies have assessed the effect of lime pretreatment on microalgae anaerobic
89 digestion.

90 The aim of this study is to evaluate and determine the best pretreatment conditions (alkali
91 dose and temperature) for a thermo-alkaline pretreatment of microalgae with lime (CaO) by means
92 of biomass solubilisation and methane production analysis.

93

94 **2. Material and Methods**

95 **2.1 *Microalgal biomass***

96 Microalgae used in this study were harvested from a pilot raceway pond (17 m³) located at the
97 INRA-LBE facilities (Narbonne, France), which treated synthetic wastewater based on the
98 composition tested by Bracklow et al. (2007) [19]. A detailed description of the system can be found
99 in Hreiz et al. (2014) [20]. Microalgal biomass, which consisted of a mixed culture of microalgae
100 and bacteria, was harvested by membrane concentration followed by gravity settling (24h at 4 °C).
101 Microalgae species were identified by optical microscopy (Olympus BX53).

102

103 **2.2 *Microalgae pretreatment***

104 Thermal and thermo-alkaline pretreatments of microalgal biomass were carried out in glass
105 bottles of 160 mL containing 27.62 g of microalgal biomass with a concentration of 14.5 g VS L⁻¹.
106 In order to assess the best pretreatment condition, two lime (Akdolit[®] Q90; purity ≥ 92%) doses
107 were tested: 4 and 10% CaO on a TS basis, based on the common doses used when applying this
108 pretreatment [21]. According to the literature, lime pretreatment requires long exposure times,
109 ranging from several days to weeks, which can be reduced by increasing temperature [18]. For this
110 reason, the following combinations of temperature and exposure time were tested: 4 days at room
111 temperature (25°C) and 24 h at 55 and 72°C. After adding lime, bottles were closed and incubated
112 with constant agitation. All conditions were compared with control trials (without lime): microalgae
113 stored for 4 days at 4°C, and microalgae exposed to 25°C for 4 days and 55 and 72°C for 24h.

114 Each pretreatment condition was performed in five different bottles. Later, three of them
115 were used in the biochemical methane potential (BMP) test (triplicates) (Section 2.3) and the rest
116 were devoted to all analysis (Section 2.4). As far as the pretreatment at room temperature is
117 concerned, 4 extra bottles were used in order to monitor the pH (duplicates), and the gas pressure
118 and composition inside the bottles (duplicates).

119

120 **2.3 Biochemical methane potential tests**

121 Methane potentials of untreated and pretreated microalgae were tested by means of BMP tests. Each
122 condition was performed in triplicate. The inoculum was granular sludge from a mesophilic digester
123 which treated the effluent of a sugar factory. The sludge was diluted with distilled water to reach a
124 concentration of 60 g TS L⁻¹ and 47.6 g VS L⁻¹. Then, it was kept under anaerobic conditions at
125 35°C with continuous stirring until use.

126 In order to avoid biomass loss during the experimental process, the test was carried out
127 using the same glass bottles as the pretreatment. As already mentioned, each bottle contained 4 g
128 VS L⁻¹ of microalgae. The substrate to inoculum ratio (S/I) was 1 g VS substrate / g VS inoculum.
129 Macronutrients, oligoelements and buffer solutions were added providing 360 mg N-NH₄·L⁻¹, 118
130 mg P-PO₄·L⁻¹, 37.1 mg Mg ·L⁻¹, 42.3 mg Ca ·L⁻¹, 5.6 mg Fe ·L⁻¹, 1.24 mg Co ·L⁻¹, 0.28 mg Mn ·L⁻¹,
131 0.25 mg Ni ·L⁻¹, 0.24 mg Zn ·L⁻¹, 0.09 mg B ·L⁻¹, 0.23 mg Se ·L⁻¹, 0.15 mg Cu ·L⁻¹, 0.04 mg Mo·L⁻¹
132 ¹and 2.6 g NaHCO₃·L⁻¹. Bottles were filled with distilled water up to 100 mL, flushed with nitrogen
133 gas, sealed with butyl rubber stoppers and incubated at 35 °C until biogas production ceased.

134 Accumulated biogas production was measured with a manometer (LEO 2, Keller) while
135 biogas composition (CH₄, CO₂, N₂, O₂, H₂) was analysed by means of a gas chromatograph (Clarus
136 580, PerkinElmer) equipped with RtQBond and RtMolsieve columns coupled to a thermal
137 conductivity detector (TCD). The carrier gas was argon, and the temperatures of the injector,
138 detector and oven were 250, 150 and 60°C, respectively.

139 A blank treatment was used to quantify the amount of methane produced by the inoculum.
140 The net biogas production was calculated by subtracting the blank results to each trial.

141

142 **2.4 Analytical methods**

143 Microalgal biomass was characterised by the concentration of TS, VS and total chemical oxygen
144 demand (COD), following APHA Standard Methods [22]. Biomass macromolecular composition
145 was expressed in terms of percentage of proteins, carbohydrates and lipids over the VS content.

Proteins were calculated by multiplying the total Kjeldahl nitrogen (TKN) by 5.95 [23], and TKN was titrated using a Buchi 370-K after mineralisation of samples. The total carbohydrate content (CH) was analysed by the phenol-sulphuric method [24] after acid hydrolysis. The lipid content was determined after heptane extraction (ASE®200, DIONEX).

The liquid fraction from each pretreatment was analysed for soluble COD (CODs), TKN (TKNs) and CH (CHs) as described before. Soluble sugars were also quantified by High Performance Liquid Chromatography (HPLC) coupled to refractometric detection (Waters R410) after mild acid hydrolysis [25]. Chemicals were separated by an Aminex HPX-87H column (300 x 7.8mm, Biorad) equipped with a protective precolumn (Microguard cation H refill catbridges, Biorad). The eluting solution was 2 mM H₂SO₄, the flow rate was 0.3 ml·min⁻¹, the column temperature was 45°C and the refractive index detector (Waters 2414) worked at 45°C to quantify sugars. All physico-chemical analyses were performed in triplicate.

2.5 Solubilisation rates and biomass loss calculation

Biomass solubilisation was evaluated by the soluble to total COD, CH and TKN ratios using the following equations (Eq. 1-3):

$$\text{COD solubilised (\%)} = \frac{(\text{COD}_s)_p}{(\text{COD})_0} \cdot 100 \quad [\text{Eq. 1}]$$

$$\text{CH solubilised (\%)} = \frac{(\text{CH}_s)_p}{(\text{CH})_0} \cdot 100 \quad [\text{Eq. 2}]$$

$$\text{TNK solubilised (\%)} = \frac{(\text{TNK}_s)_p}{(\text{TNK})_0} \cdot 100 \quad [\text{Eq. 3}]$$

where sub-indexes refer to pretreated (p) and untreated (0) biomass.

The biomass loss after pretreatment was calculated in terms of COD loss according to Eq. 4, where (COD)_p is the total COD concentration of pretreated samples and (COD)₀ is the total COD concentration of untreated microalgae (control).

$$\text{COD losses (\%)} = \frac{(\text{COD})_p - (\text{COD})_0}{(\text{COD})_0} \cdot 100 \quad [\text{Eq. 4}]$$

170

171 **2.6 Kinetic data analysis**

172 In order to evaluate the kinetics of the process, experimental data from BMP tests was adjusted to a
173 first-order kinetic model [Eq.5] by the least square method.

$$174 \quad B = B_0 \cdot \{1 - \exp[-k \cdot (t - \lambda)]\} \quad [\text{Eq.5}]$$

175 where, B_0 stands for the methane production potential ($\text{ml CH}_4 \cdot \text{gVS}^{-1}$), k is the first order kinetic
176 rate constant (day^{-1}), B is the accumulated methane production at time t ($\text{ml CH}_4 \cdot \text{gVS}^{-1}$), t is time
177 (day) and λ represents the lag phase (day).

178 The error variance (s^2) was estimated by the following equation:

$$179 \quad s^2 = \frac{\sum (y_i - \hat{y}_i)^2}{N - K} \quad [\text{Eq.6}]$$

180 where y_i is the experimental value, \hat{y}_i is the value estimated by the model, N is the number of
181 samples and K is the number of model parameters.

182

183 **2.7 Statistical analyses**

184 Linear regressions were fit to find the relationship between solubilisation and explanatory variables
185 (i.e lime dose, temperature). Differences among experimental conditions for the methane yield were
186 determined by the ANOVA and Tukey tests. Differences were considered significant at p values
187 below 0.05. All statistical analyses were performed using R 3.0.2 software.

188

189 **3. Results and discussion**

190 **3.1 Microalgae biomass characteristics**

191 Microscope examination showed that the predominant microalgae were *Chlorella* sp. and
192 *Scenedesmus* sp. (Fig. 1). Both genus are characterised by a resistant cell wall which hampers their
193 biodegradability, especially in the case *Scenedesmus* which has a complex multilayer cell wall [26].

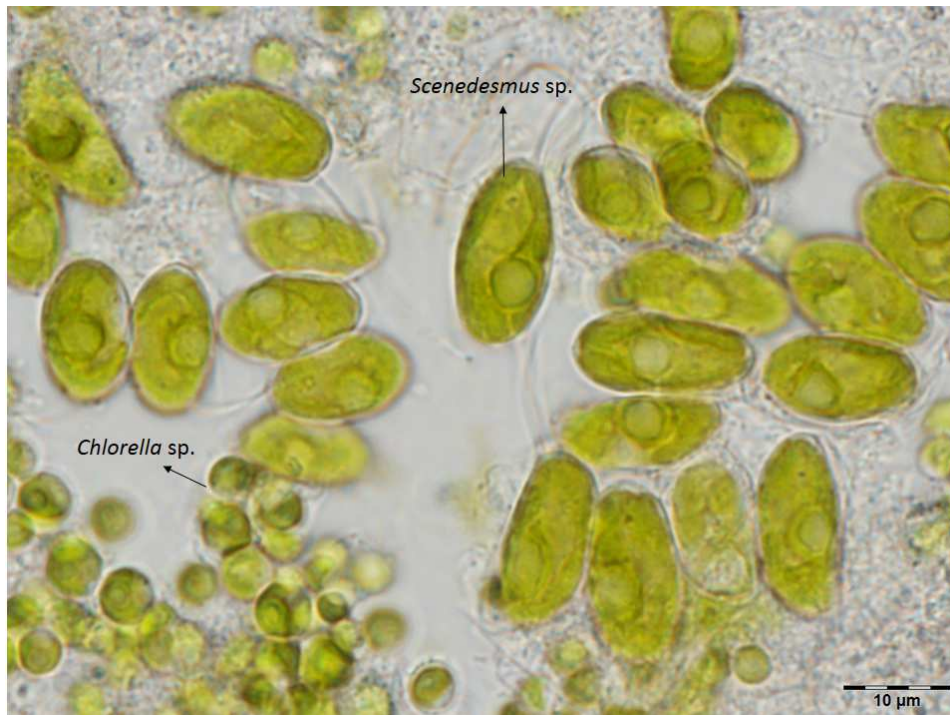


Figure 1. Microscopic image of microalgal biomass mainly composed of *Chlorella* sp. and *Scenedesmus* sp.

Biochemical analysis indicated that microalgae biomass was mainly composed of proteins (52%), followed by carbohydrates (16%) and lipids (9%) (Table 1). These results are in accordance with the literature [27]. Carbohydrates were mainly constituted by glucose and xylose (48 and 39% of the total carbohydrates, respectively). This is in agreement with previous studies which found a similar carbohydrate composition in *Chlorella sorokiniana* and *Scenedesmus almeriensis* [28].

Table 1. Biochemical composition of microalgal biomass (mean \pm standard deviation).

Parameter	Value
TS ($\text{g}\cdot\text{L}^{-1}$)	17.8 ± 0.1
VS ($\text{g}\cdot\text{L}^{-1}$)	14.5 ± 0.1
COD ($\text{g O}_2\cdot\text{L}^{-1}$)	23.5 ± 0.2
Carbohydrates (% VS)	16.3 ± 0.5
Proteins (% VS)	52.0 ± 0.5
Lipids (% VS)	8.8 ± 0.0
Ash (%)	18.4 ± 0.9

3.2 pH monitoring over lime pretreatment

pH is an important parameter in alkaline pretreatments, as alkaline conditions must be

206 ensured during the whole pretreatment process. For that reason, pH was measured before and after
207 applying the pretreatment with lime. While untreated microalgae showed a pH of 8.1, this value
208 increased to 11.9 and 12.4 when 4 and 10% CaO was added, respectively. However, the final pH
209 decreased after 4 days of alkaline pretreatment at room temperature and after 24h of thermal and
210 thermo-alkaline pretreatment (Table 2).

211 Concerning the alkaline pretreatment, pH values achieved at the end of the pretreatment
212 were very low (7.6 and 8.1 with 4 and 10% CaO, respectively). These results were unexpected,
213 since lime was applied to induce alkaline conditions during the whole pretreatment. To further
214 investigate the pH drop, the lime pretreatment at room temperature was repeated measuring the pH
215 and gas content in the bottles over time (Fig. 2). As can be observed in Fig. 2, after the first 20-30
216 hours the pH decreased and then it stabilised at similar values as those obtained during the thermal
217 pretreatment without lime ($\text{pH} = 7.3 \pm 0.3$). The same graph also shows that the CO_2 content
218 increased over time. This can be explained by the presence of heterotrophic bacteria in the microalgal
219 biomass, which release CO_2 as a result of organic matter biodegradation. The higher the dose of
220 lime, the lower the CO_2 concentration in the gas phase, especially at the beginning of the
221 pretreatment when CO_2 increase was moderate (even null for 10% CaO). This fact suggests that
222 CO_2 was dissolved, decreasing the pH. Hence, the alkaline pretreatment of this type of biomass at
223 room temperature only makes sense with contact times below 24 h.

224 Regarding the thermo-alkaline pretreatment at 55 and 72°C, higher final pH values were
225 achieved as compared to the alkaline one (8.8 for 4% CaO and 11.9 for 10% CaO) (Table 2), even
226 though they showed a pH decrease at the end of the pretreatment. On the other hand, thermally
227 pretreated samples presented a slight pH decrease with respect to untreated microalgae (7.71 and
228 7.78 at 55 and 72°C, respectively). In this case, the decrease could be attributed to a certain
229 acidification caused by organic matter biodegradation. The same evidence was detected after
230 pretreating the macroalga *Palmaria palmata* with 4% NaOH, when the pH decreased from 11.3 to
231 9.3 and 9.9 after 24 h at 70 and 85°C, respectively [29]. Nonetheless, in comparison with the

alkaline pretreatment at room temperature, mild temperatures enhanced alkaline conditions during the pretreatment.

Table 2. Pretreatment conditions and final pH achieved after the pretreatment.

Trial	Pretreatment conditions			Final pH
	Temperature (°C)	Contact time (h)	CaO dose (% TS)	
Untreated microalgae	-	-	-	8.06
Room temperature	25	96	0	8.12
Room temperature + 4% CaO	25	96	4	7.55
Room temperature + 10% CaO	25	96	10	8.09
55 °C	55	24	0	7.71
55 °C + 4% CaO	55	24	4	8.85
55 °C + 10% CaO	55	24	10	11.92
72 °C	72	24	0	7.78
72 °C + 4% CaO	72	24	4	8.82
72 °C + 10% CaO	72	24	10	11.91

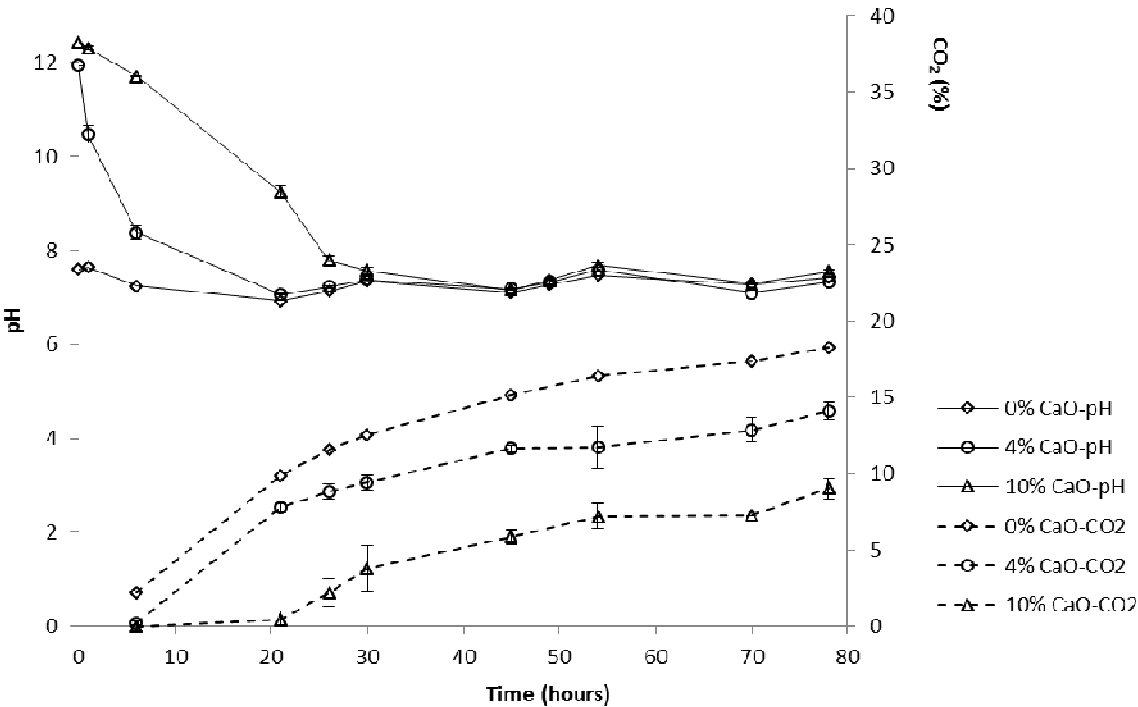


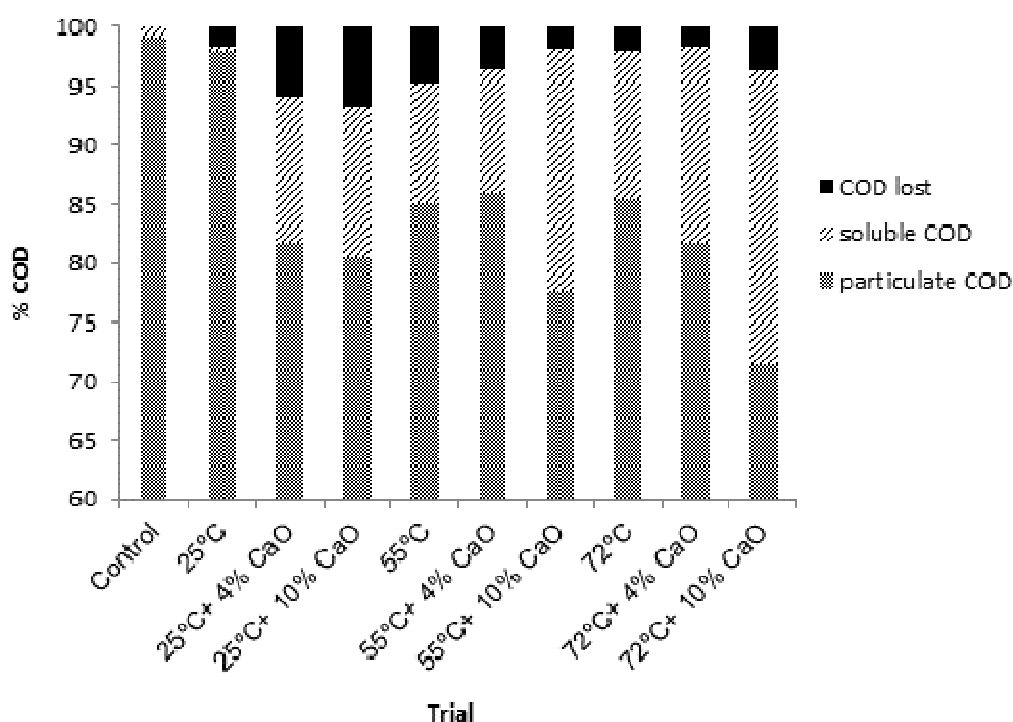
Figure 2. pH and CO₂ measured in the bottles after addition of 0, 4 and 10% CaO at room temperature.

240 3.3 Effect of the pretreatment on microalgal biomass solubilisation and biomass loss

241 3.3.1. Organic matter solubilisation

242 Thermal and thermo-alkaline pretreatments enhanced organic matter solubilisation under all
 243 pretreatment conditions (Fig. 3). Indeed, the soluble to total COD ratio increased by 10-25%,
 244 depending on the pretreatment condition. Moreover, the addition of lime enhanced biomass
 245 solubilisation under all temperatures assayed. The highest soluble COD values were observed for
 246 the thermo-alkaline pretreatment with 10% CaO at 55 and 72°C (20 and 25% CODs, respectively).

247 Similar results were observed in a previous study that analysed COD solubilisation after
 248 applying NaOH at mild temperature (50°C) to different microalgae species [10]. They obtained
 249 values of 16-20% of COD solubilised when pretreating *Chlorella* sp. and 4-18% for *Scenedesmus*
 250 sp. The authors attributed such a low COD solubilisation to the fact that the tested pretreatments
 251 were unable to break down microalgae cell walls. Hence, soluble COD increase seemed to be
 252 caused by exopolymers release rather than intracellular material. Higher COD solubilisation was
 253 observed by applying NaOH to *Chlorella* sp. and autoclaving at 120°C, achieving up to 81% CODs
 254 [12]. This shows how higher solubilisation can be achieved by combining alkaline pretreatment
 255 with high temperatures as compared to mild temperatures.



256

Figure 3. COD fractions after thermo-alkaline pretreatment, expressed as % of the total initial COD of untreated microalgae. Soluble fractions were calculated according to Eq. 1; particulate fractions were calculated as the difference between total COD and soluble COD; and removed COD fractions were calculated according to Eq. 4. Mean values (relative error < 2%).

3.3.2. Biomass loss during the pretreatment

During the pretreatment step biomass loss should be minimised not to reduce the methane potential. In this study, biomass loss was expressed as the total COD removed during the pretreatment (Eq. 4) and the values were low (< 7%). As can be observed in Fig. 3, organic matter loss was the highest (between 6-7%) after alkaline pretreatment at room temperature. This was due to the fact that alkaline conditions were not preserved during the whole pretreatment (Table 2). Thus, biomass solubilisation by the pretreatment enhanced the consumption of readily biodegradable organic matter by heterotrophic bacteria. On the contrary, in the pretreatments at mild temperatures (55, 72 °C), lime addition contributed to avoid organic matter biodegradation (except for the sample pretreated at 72°C with 10% CaO). In that case, thermal effects prevailed over biological ones.

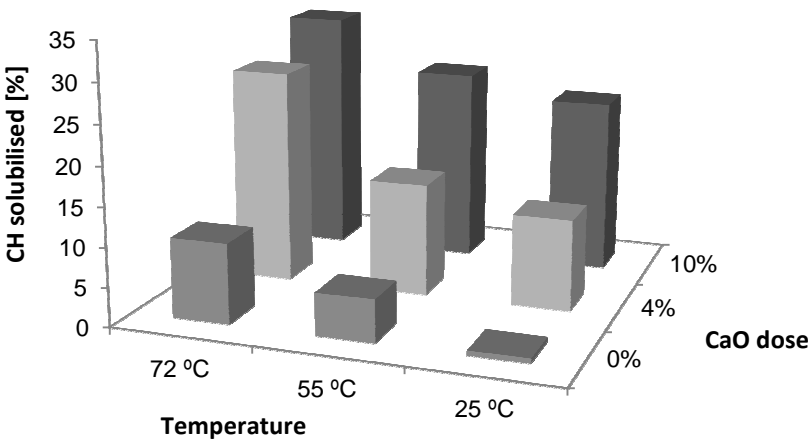
3.3.3. Carbohydrate and protein solubilisation

CH and proteins are the main macromolecules of microalgae biomass (Table 1). In addition, CH are the main constituents of microalgae cell wall, which hampers microalgae hydrolysis. In order to evaluate the effect of the pretreatment on both macromolecules, CH and TKN (which is directly related to proteins) contents in the liquid phase were analysed after each pretreatment (Fig. 4 and 5).

According to the results, CH solubilisation increased with temperature and lime dose (from 5% of solubilised CH for samples pretreated at room temperature with 4% CaO to 31% for samples pretreated at 72°C with 10% CaO). In fact, the combination of alkali and temperature could induce cellulose swelling, increasing the internal surface area and reducing the degree of crystallinity and polymerization [30]. Moreover, the hydrolysis of CH may occur through a variety of reactions induced by lime, including the disruption of H-bonds and saponification of intermolecular ester

bonds in cellulose and hemicelluloses and crosslinking hemicellulose with other polymeric components [18]. Indeed, carbohydrate release after thermo-chemical pretreatment of microalgae has already been reported [10,28]. However, the comparison of alkali and acid pretreatments showed how alkaline hydrolysis cleaved intermolecular linkages between complex polysaccharides and fibers and other polymeric compounds, but only acid hydrolysis was able to break down complex carbohydrates into simple sugars [28].

a)



b)

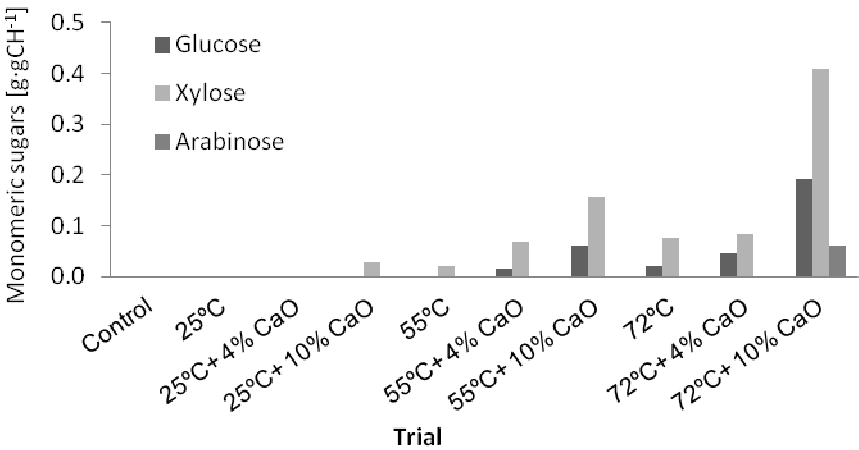


Figure 4. Carbohydrates solubilised (CHs) expressed as percentage over the total carbohydrates (CH) (Eq. 2) (a) and main sugar monomers solubilised (b) after each pretreatment. Mean values (relative error < 2%).

Opposite to [10], who observed low COD solubilisation (4-20%) attributed to exopolymers release, in the current study, the high COD and CH solubilisation (> 30%) observed with the highest lime dose and temperature (10% CaO and 72°C) could not only be attributed to exopolymers release

but also other structural macromolecules. Indeed, the soluble fraction of different structural sugar monomers (i.e. glucose, xylose and arabinose) was also analysed (Fig. 4b). The goal was to verify if carbohydrates released during the pretreatment came not only from intracellular material but also from structural carbohydrates from the cell wall. The results showed a substantial increase in glucose and xylose after the pretreatment at the highest temperature and lime dose (72°C and 10% CaO). Moreover, arabinose release was only detected in that case. Such a significant sugar release could be attributed to the cell wall damage, since the cell wall of the studied microalgae species is constituted by these monomeric sugars [31,32].

Regarding proteins, there was no direct correlation between their solubilisation and the lime dose (Fig. 5). For the pretreatment at room temperature, the percentage of solubilised TKN was the highest with the lowest lime dose (17.2 and 12.9% with 4 and 10% CaO, respectively). Taking into account that the pH decreased after lime addition at room temperature (Table 2), it seems that the biological degradation of proteins prevailed over the chemical one. Thus, at room temperature the lowest lime dose favoured the biological degradation of organic matter and consequently its solubilisation. A different behaviour was observed at 55 and 72°C (Fig. 5), at which thermochemical effects prevailed over biological ones. Nevertheless, the highest soluble TKN fraction (32%) was reached with the most severe pretreatment condition (10% CaO and 72°C).

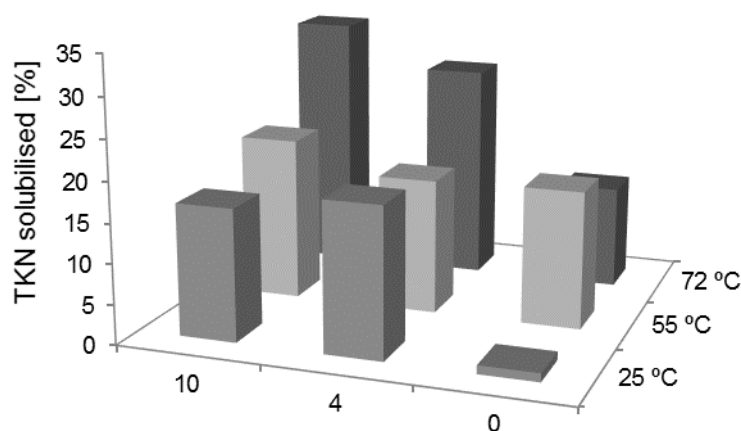


Figure 5. Soluble TKN (TKNs) after each pretreatment expressed as percentage over the TKN (Eq. 3). Mean values (relative error < 2%).

320
321 In conclusion, the use of alkali mainly enhanced protein solubilisation, while the combination of
322 alkali and temperature was required to solubilise carbohydrates. This is in accordance with the
323 literature. For instance, Mendez et al. (2013) found that proteins prevailed over carbohydrates
324 solubilisation when *Chlorella* was subjected to alkaline conditions [11]. Similarly, Yang et al.
325 (2011) concluded that protein solubilisation of lipid-extracted microalgal biomass was influenced
326 by NaOH addition while carbohydrate solubilisation was not [33].

327

328 ***3.4 Effect of the pretreatment on the methane production***

329 To evaluate the effect of pretreatments on the methane production, both methane production rate
330 and extent were evaluated in BMP tests.

331 ***3.4.1. Biochemical methane potential increase with the pretreatment***

332 Fig. 6 shows the cumulative methane yield obtained after 105 days of assay, while Table 3 reports
333 the final methane potential achieved for each pretreatment condition. It should be notice that the
334 methane yield is referred to the initial VS of untreated microalgae. In Table 3, the methane yield
335 increase is compared to the methane yield increase considering methane potential losses resulting
336 from organic matter losses during the pretreatment step. To do so, COD losses (Eq. 4) were
337 converted into methane losses.

338 The results show how untreated microalgae produced 260 mL $\text{CH}_4 \cdot \text{gVS}^{-1}$, which is in
339 accordance with reported methane yields for *Chlorella* sp. (189-403 mL $\text{CH}_4 \cdot \text{gVS}^{-1}$) and
340 *Scenedesmus* sp. (240-287 mL $\text{CH}_4 \cdot \text{gVS}^{-1}$) [3]. Some samples presented a similar methane yield
341 after the pretreatment (i.e. 10% CaO at 25°C; 0% and 4% CaO at 55°C), while in others the methane
342 yield increased by 10% (i.e. 4% CaO at 25 and 72°C; 10% CaO at 55°C). The most significant
343 methane yield increase (25%) was achieved by the pretreatment with 10% CaO at 72°C (325 mL
344 $\text{CH}_4 \cdot \text{gVS}^{-1}$). This methane yield increase is even higher (> 33% increase) if the biomass loss during
345 the pretreatment step is taken into account. The highest methane production can be attributed to the
346 highest solubilisation of both carbohydrates and proteins after the thermo-chemical pretreatment

(Fig. 4 and 5), and to the release of sugar from the cell wall, namely glucose, xylose and arabinose (Fig. 4b). Accordingly, the methane production increase may have resulted from the cell wall damage after the pretreatment with 10% CaO at 72°C. Similar results were obtained by pretreating *Chlorella* sp. and *Scenedesmus* sp. with 5% NaOH at 50°C increasing the methane yield by 17 and 20%, respectively [10]. Comparing the lime pretreatment with others, similar methane yield increase (29%) was achieved by applying a thermal pretreatment at 120 °C on *Chlorella* sp. and *Scenedesmus* sp. culture [34] and a low-temperature pretreatment at 80°C on *Chlorella vulgaris* (11–24%) [35]. Regarding mechanical pretreatments, lower values were obtained by applying ultrasounds (6-15%) [34] but higher improvements were found with other mechanical pretreatments (i.e. milling) on *Acutodesmus obliquus* (51%) [36].

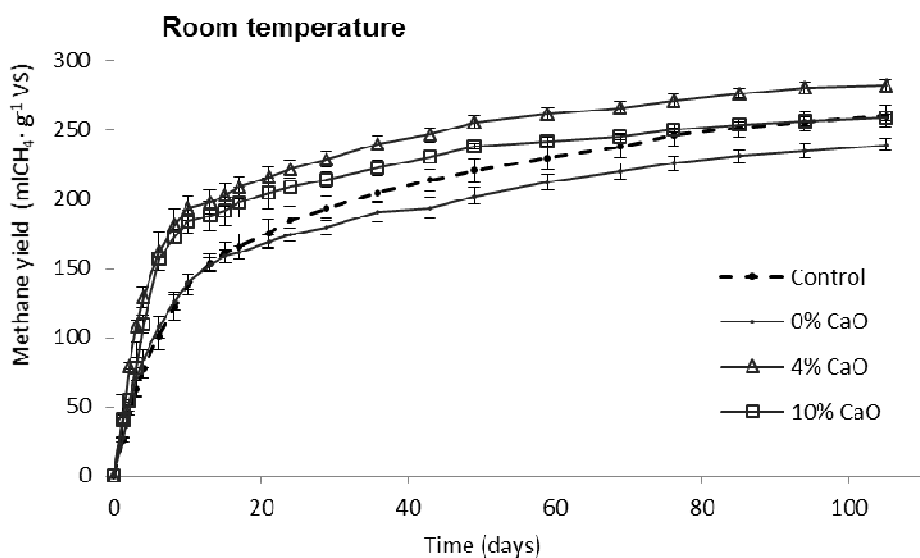
Table 3. Final methane yield and methane content obtained in BMP tests for each pretreatment condition (mean ± standard deviation).

Trial	Methane yield (mL CH ₄ ·g VS ⁻¹ untreated microalgae)	Methane content (%)	Methane yield increase (%)	Methane loss (mL CH ₄ ·gVS ⁻¹)	Methane yield increase considering methane loss (%)
Untreated microalgae	260 ± 8	67.2 ± 0.6	-	-	-
Room temperature	239 ± 5	67.5 ± 0.5	-8.0	10.3	-4.0
Room temperature + 4% CaO	282 ± 4	70.0 ± 1.0	8.4	29.7	19.8
Room temperature + 10% CaO	259 ± 2	75.5 ± 2.8	-0.5	39.9	14.9
55 °C	257 ± 4	69.8 ± 0.7	-1.0	28.1	9.8
55 °C + 4% CaO	255 ± 6	69.7 ± 0.3	-2.1	21.5	6.2
55 °C + 10% CaO	292 ± 11	77.3 ± 1.8	12.2	11.2	16.5
72 °C	230 ± 7	71.4 ± 0.5	-11.6	12.3	-6.8
72 °C + 4% CaO	287 ± 4	74.3 ± 0.5	10.3	10.6	14.3
72 °C + 10% CaO	325 ± 12	77.9 ± 0.6	25.0	22.1	33.5

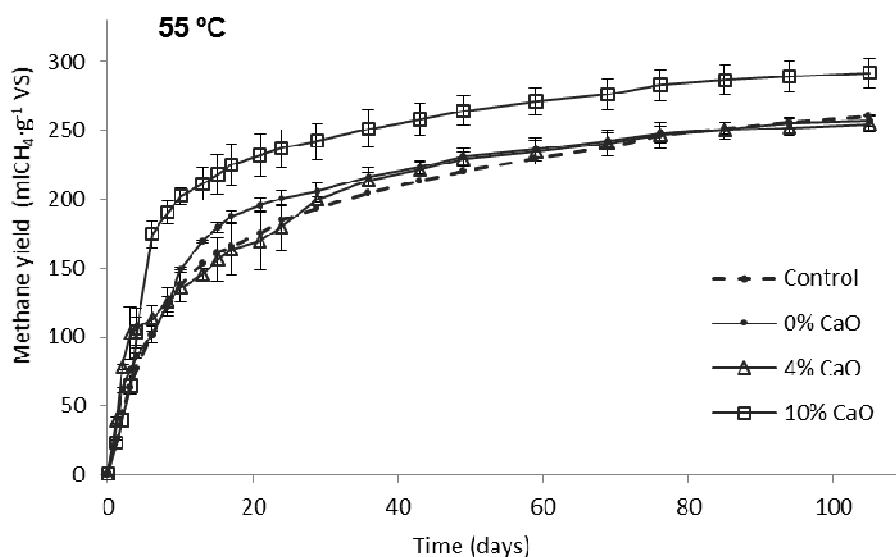
359

360 Comparing the effect of lime for each tested temperature, two different trends were
361 observed. For thermally pretreated samples, the higher the dose of lime, the higher the methane
362 yield (increasing from 257 to 292 ml CH₄ g⁻¹VS at 55°C and from 230 to 325 ml CH₄ g⁻¹VS at
363 72°C). Conversely, the pretreatment at room temperature presented the highest methane yield with
364 4% CaO (282 ml CH₄ gVS⁻¹). These results are consistent with the higher protein solubilisation
365 obtained with 4% CaO compared to 10% CaO, and also with the higher biomass loss of the
366 pretreatment with 10% CaO. According to the results, the thermo-alkaline pretreatment had more
367 effect in terms of biomass solubilisation than methane production. Indeed, it has been shown that
368 organic matter solubilisation can increase significantly more than the methane yield of several
369 microalgae species [12,34]. Nevertheless, with the most severe condition (10% CaO at 72°C) not
370 only biomass solubilisation but also the final methane yield was improved.

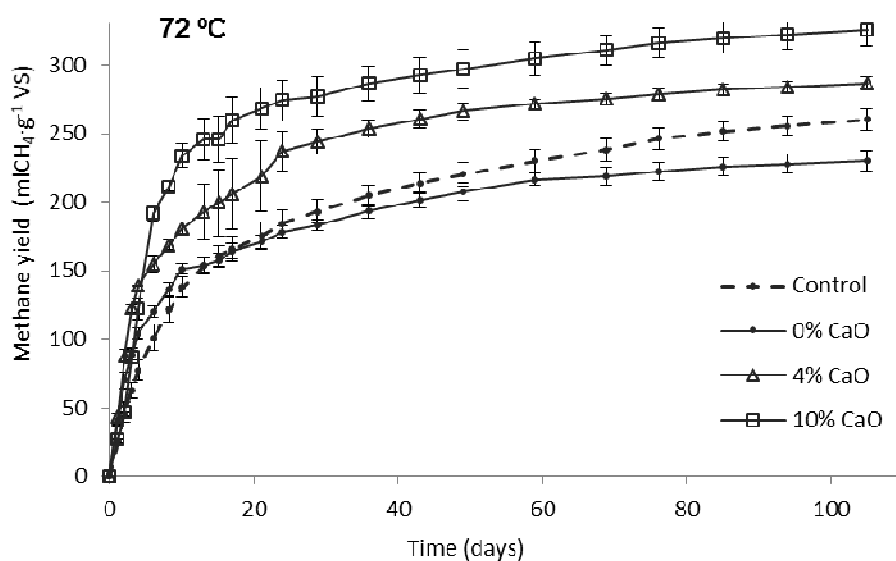
371



a)



b)



c)

Figure 6. Cumulative methane yield of chemically pretreated microalgae at room temperature (a) and thermo- chemically pretreated microalgae at 55°C (b) and 72°C (c) with 0, 4 and 10% CaO.

377 3.4.2. Kinetics improvement with the pretreatment

378 All the pretreatments improved the kinetics of the process as shown by the first order kinetic
 379 constant (k) (Table 4). While untreated microalgae showed the lowest k (0.08 day^{-1}), k values
 380 increased to $0.09\text{-}0.14 \text{ day}^{-1}$ when biomass was pretreated. In general, the higher the lime dose, the
 381 higher the k . This kinetics enhancement was attributed to organic matter solubilisation after the
 382 pretreatment. Altogether, no correlation between the percentage of COD solubilised and the kinetic
 383 rate constant was found ($R^2=0.136$). However, since alkaline and thermo-alkaline pretreatments
 384 presented different behaviours in terms of macromolecules solubilisation and methane production,
 385 the correlation was analysed separately. By doing so, higher correlation coefficients were found
 386 ($R^2=0.985$ and $R^2=0.779$ for the alkaline and thermo-alkaline pretreatments, respectively).

387

388 **Table 4.** Kinetic parameters obtained from Eq.5. Estimated error variance (S^2) of each fitting calculated from

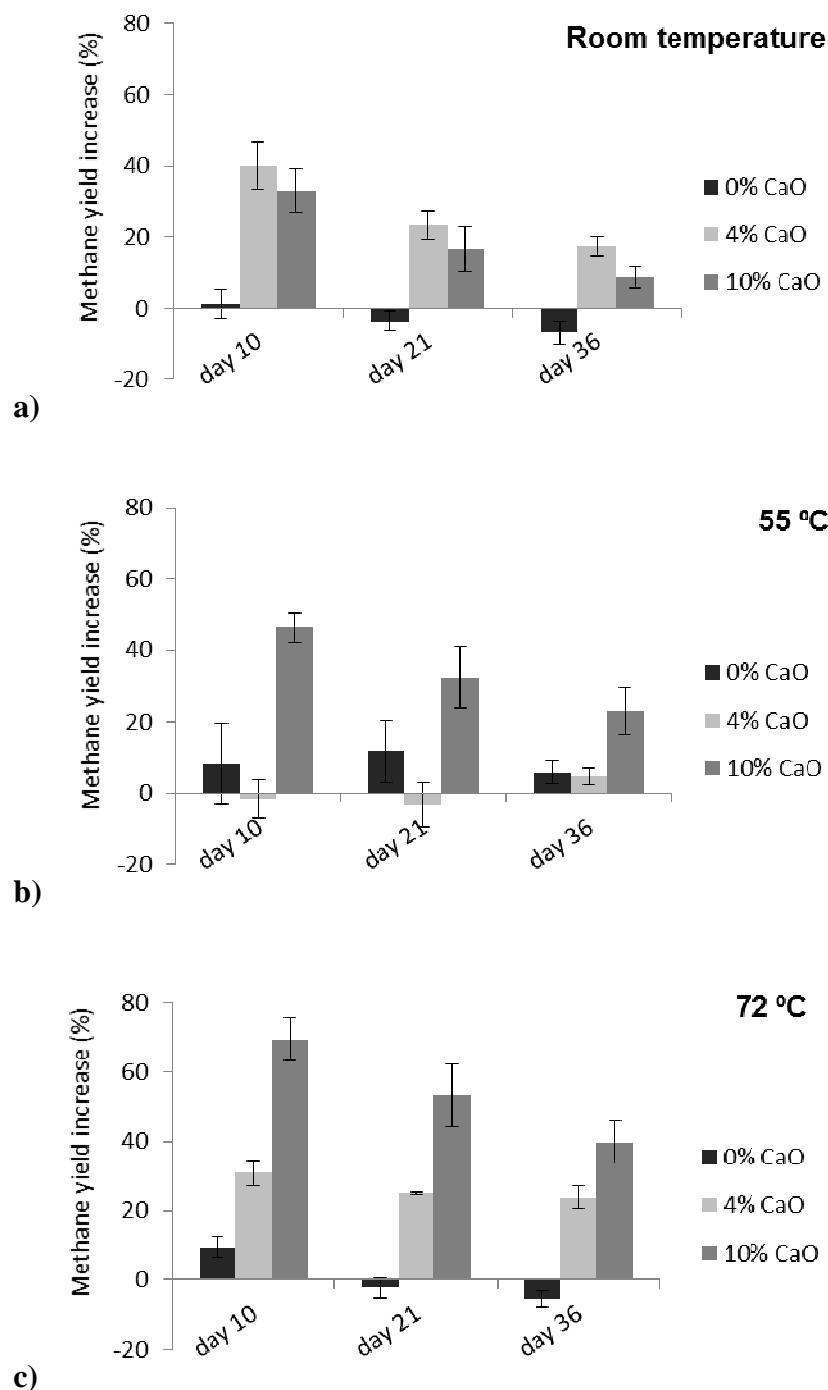
389 Eq. 6.

Trial	λ (day)	B_0 (ml $\text{CH}_4 \text{ gVS}^{-1}$)	k (day^{-1})	S^2
Untreated microalgae	0.00	238	0.08	173
Room temperature	0.00	214	0.10	209
Room temperature + 4% CaO	0.00	255	0.14	325
Room temperature + 10% CaO	0.00	237	0.14	201
55 °C	0.00	240	0.09	132
55 °C + 4% CaO	0.00	236	0.09	456
55 °C + 10% CaO	1.17	271	0.12	261
72 °C	0.00	209	0.12	274
72 °C + 4% CaO	0.00	265	0.12	398
72 °C + 10% CaO	1.17	305	0.13	223

390

391 The kinetics improvement could be responsible for the higher methane production rate
 392 during the first days of the BMP test (Fig. 6). To ease comprehension, the methane yield increase

for each pretreatment condition with respect to untreated microalgae at days 10, 21 and 36 was compared (Fig. 7). As can be observed in Fig. 7, alkaline and thermo-alkaline pretreatments presented different behaviors. Once again, higher values were obtained with 4% CaO for the alkaline pretreatment at room temperature and 10% CaO for all thermo-alkaline pretreatments.



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Figure 7. Methane yield increase of pretreated samples at room temperature (a), 55 °C (b) and 72 °C (c) with respect to untreated microalgae (control) after 10, 21 and 36 days of BMP assay.

404

405 4. Conclusions

406 This study evaluated the effect of a thermo-alkaline pretreatment with lime on microalgal biomass
407 anaerobic digestion. The pretreatment increased proteins and carbohydrates solubilisation up to
408 32.4% and 31.4%, respectively. Consequently, anaerobic digestion kinetics were also improved (the
409 first order kinetic rate constant increased from 0.08 to 0.14 day⁻¹). The pretreatment with the highest
410 lime dose (10% CaO) and temperature (72°C) showed both the highest macromolecules
411 solubilisation (31-32%) and the highest biochemical methane potential increase (25%). Bearing in
412 mind that lime is not toxic and that it is less expensive than other chemicals (e.g. NaOH), the use of
413 lime could also contribute to reducing pretreatment costs and potential environmental impacts.
414 Nevertheless, the application of the best pretreatment condition should be further investigated in
415 continuous reactors to estimate the energy balance and economic cost of the process.

416

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427

428 **Declaration of contributions**

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431 for the integrity of the work as a whole, from inception to finished article.

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